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**DECLARATION (37 CFR 1.63) FOR  
UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET  
(37 CFR 1.76)**

<b>Application Number</b>	Unassigned
<b>Filing Date</b>	March 1, 2002
<b>First Named Inventor</b>	Xiaodong Huang
<b>Group Art Unit</b>	Unassigned
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	22920-06460

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ Application No. \_\_\_\_\_, filed on March 1, 2002,  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor one: Xiaodong Huang Citizen of: PRC

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor two: Andreas Stintz Citizen of: U.S.A.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor three: Kevin Malloy Citizen of: U.S.A.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor four: Guangtian Liu Citizen of: PRC

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

☒ Additional inventors are being named on one additional form attached hereto.

2006-03-08 10:03:40

DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
<p>As the below named inventor(s), I/we declare that:</p> <p>This declaration is directed to:</p> <div style="margin-left: 40px;"> <input type="checkbox"/> The attached application, or  <input checked="" type="checkbox"/> Application No. _____, filed on March 1, 2002],  <input type="checkbox"/> as amended on _____ (if applicable);         </div>	
FULL NAME OF INVENTOR(S)	
Inventor five: <u>    Luke Lester    </u>	Citizen of: <u>    U.S.A.    </u>
Signature: _____	Date: _____
Inventor six: <u>    Julian Cheng    </u>	Citizen of: <u>    U.S.A.    </u>
Signature: _____	Date: _____
Inventor seven: _____	Citizen of: _____
Signature: _____	Date: _____
Inventor eight: _____	Citizen of: _____
Signature: _____	Date: _____
Inventor nine: _____	Citizen of: _____
Signature: _____	Date: _____
Inventor ten: _____	Citizen of: _____
Signature: _____	Date: _____
Inventor eleven: _____	Citizen of: _____
Signature: _____	Date: _____
Inventor twelve: _____	Citizen of: _____
Signature: _____	Date: _____
Inventor thirteen: _____	Citizen of: _____
Signature: _____	Date: _____
Inventor fourteen: _____	Citizen of: _____
Signature: _____	Date: _____

Please type a plus sign (+) inside this box →

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	Unassigned
Filing Date	March 1, 2002
First Named Inventor	Xiaodong Huang
Title	QUANTUM DOT VERTICAL CAVITY SURFACE EMITTING LASER
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	22920-06460

I hereby appoint:

☐

Practitioners at Customer Number

00758

☒

Practitioner(s) named below:

Name	Registration Number
Albert C. Smith	20,355
Edward A. Van Gieson	44,386

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐

The above-mentioned Customer Number.

I am the:

☒

Applicant/Inventor **OR**

☐

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name	Xiaodong Huang
Signature	
Date	

I am the:

☒

Applicant/Inventor **OR**

☐

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name	Andreas Stintz
Signature	
Date	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of three (3) forms are submitted.

Please type a plus sign (+) inside this box →

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**SIGNATURE of Applicant or Assignee of Record**

Name	Kevin Malloy
Signature	
Date	

I am the:

☒

Applicant/Inventor **OR**

☐

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name	Guangtian Liu
Signature	
Date	

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**SIGNATURE of Applicant or Assignee of Record**

Name	Luke Lester
Signature	
Date	

I am the:

☒

Applicant/Inventor **OR**

☐

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**SIGNATURE of Applicant or Assignee of Record**

Name	Julian Cheng
Signature	
Date	

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